

06/14/01
11064 U.S. PTO

06-15-01

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Richard A. Skogman
Docket: H16-01331-US (M&G 13358.5US01)
Title: METHOD AND APPARATUS FOR PRODUCING VCSELS WITH DIELECTRIC MIRRORS
AND SELF-ALIGNED GAIN GUIDE

CERTIFICATE UNDER 37 CFR 1.10

'Express Mail' mailing label number EL 815526165 US

Date of Deposit June 14, 2001

I hereby certify that this paper or fee is being deposited with the United States Postal Service 'Express Mail Post Office To Addressee' service under 37 CFR 1.10 and is addressed to the Commissioner for Patents, Washington, D.C. 20231

By [Signature]
Name: Omesh Singh

09/881167
06/14/01

BOX PATENT APPLICATION

Commissioner for Patents
Washington, D.C. 20231

Sir:

We are transmitting herewith the attached:

- ☒ Transmittal sheet, in duplicate, containing Certificate under 37 CFR 1.10.
- ☒ Utility Patent Application: Spec. 13 pgs; 32 claims; Abstract 1 pgs.
The fee has been calculated as shown below in the 'Claims as Filed' table.
- ☒ 5 sheets of formal drawings
- ☒ A signed Declaration
- ☒ Assignment of the invention to Honeywell International, Inc., Recordation Form Cover Sheet
- ☒ Other: Fee Transmittal For FY 2001. PAYMENT OF THE FILING FEE AND ASSIGNMENT
RECORDATION FEE IS BEING CHARGED TO DEPOSIT ACCOUNT NO. 01-1125.
- ☒ Two return postcards

CLAIMS AS FILED

Number of Claims Filed	In Excess of:	Number Extra	Rate	Fee
Basic Filing Fee				\$710.00
Total Claims				
32	20	12	x 18.00	\$216.00
Independent Claims				
4	3	1	x 80.00	\$80.00
MULTIPLE DEPENDENT CLAIM FEE				\$0.00
TOTAL FILING FEE				\$1006.00

Please charge any additional fees or credit overpayment to Deposit Account No. 01-1125. A duplicate of this sheet is enclosed.

MERCHANT & GOULD P.C.
P.O. Box 2903, Minneapolis, MN 55402-0903
(612) 332-5300

By: [Signature]
Name: John J. Gresens
Reg. No.: 33112
Initials: JJG/AMN/vh



(PTO TRANSMITTAL - NEW FILING)

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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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FEE TRANSMITTAL
For FY 2001

Patent fees are subject to annual revision.

COMPLETE IF KNOWN

Application No.	
Filing Date	
First Named Inventor	Skogman
Group Art Unit	
Examiner Name	
Attorney Docket No.	H16-01331-US (13358.5US01)

TOTAL AMOUNT OF PAYMENT \$1,046.00**METHOD OF PAYMENT (check one)**1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:Deposit Account Number **01-1125**Deposit Account Name **Honeywell International Inc.**☒ Charge any Additional Fee Required Under 37 CFR §§1.18 & 1.172. ☐ Payment Enclosed:
☐ Check ☐ Money Order ☐ Other**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee \$	Fee Code	Fee \$		
103	710	201	355	Utility Filing Fee	710
106	320	206	160	Design Filing Fee	
107	490	207	245	Plant Filing Fee	
108	710	208	355	Reissue Filing Fee	
114	160	214	75	Provisional Filing Fee	
SUBTOTAL (1)					\$ - 710 -

2. EXTRA CLAIM FEES

Total Claims	32	- 20 -	Extra Claims	- 12 -	Fee from below	18.00	Fee Paid	-216-
Independent Claims		- 3 -		- 1 -		80.00		- 30 -
Multiple Dependent Claims				- 0 -		270.00		- 0 -

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee \$	Fee Code	Fee \$		
103	18	203	9	Claims in excess of 20	
102	80	202	40	Independent claims in excess of 3	
104	270	204	135	Multiple dependent claim	
109	80	209	40	Reissue independent claims over original patent	
110	18	210	9	Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)					\$ - 296 -


FEE CALCULATION (continued)**3. ADDITIONAL FEES**

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee \$	Fee Code	Fee \$		
105	130	205	65	Surcharge - Late Filing Fee	
127	50	227	25	Surcharge - Late Provisional Filing Fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner Action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner Action	
115	110	215	55	Extension for reply within first month	
116	390	216	195	Extension for reply within second month	
117	690	217	445	Extension for reply within third month	
118	1,390	218	695	Extension for reply within fourth month	
128	1,890	228	945	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for Oral Hearing	
136	1,510	136	1,510	Petition to institute a public use hearing	
140	110	240	55	Petition to revive - unavoidable	
141	1,240	241	620	Petition to revive - unintentional	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to Provisional applications	
126	180	126	240	Submission of Information Disclosure Statement	
581	40	581	40	Recording each patent assignment per property (times number of properties)	40
148	710	248	355	Filing a submission after final rejection (37 CFR 1.129(a))	
149	710	249	355	For each additional invention to be examined (37 CFR 1.129(b))	
179	710	279	355	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify) _____

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3)**\$40.00****SUBMITTED BY**

Name (Print / Type)	Andrew A. Abeyta	Registration No. (Attorney/Agent)	39,582	Complete (if applicable)	Telephone	602-313-3345
Signature				Date	6/8/01	

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